

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: ROBERT WARD CARTER, ROBERT WILLIAMS CARTER

Application No./Patent No.: 08350948

Filing Date: 07/09/1999

Title: DEVICE AND METHOD FOR TRENCHLESS REPLACEMENT OF UNDERGROUND PIPE

TRIC TOOLS, LLC

a Limited Liability Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is 75%); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:

A ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 818745, Frame 0306, or for which a copy therefore is attached.

OR

B ☒ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as follows:

1. From Robert Williams Carter, Robert Ward Carter To WRB Company, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 010525, Frame 0599, or for which a copy thereof is attached.

2. From WRB Company, Inc. To TRIC Technologies, LLC

The document was recorded in the United States Patent and Trademark Office at Reel 012394, Frame 0158, or for which a copy thereof is attached.

3. From TRIC Technologies, LLC To TRIC Tools, LLC

The document was recorded in the United States Patent and Trademark Office at Reel 013746, Frame 0290, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(ii), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08.)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES FOR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-6198 and select option 2.